

1. Name of Listed Entity: South West Pinnacle Exploration Limited
2. Scrip Code/Name of Scrip/Class of Security: SOUTHWEST
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Mar-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	



Table I-Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of full paid up equity	No. of Partly paid-up	No. of shares underlying	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying	Shareholding, as a % assuming full conversion	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			shares held (IV)	equity shares held (V)	Depository Receipts (VI)	(VII) = (IV) + (V) + (VI)					Outstanding convertible securities (including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X)	(XII)		(XIII)		(XIV)	
						As a % of (A+B+C2)	No. of Voting Rights			Total as a % of (A+B+C)								
							Class X	Class Y	Total									
A	Promoter & Promoter Group	7	9300000	0	0	9300000	66.66	9300000	0	9300000	66.66	0	66.66	9300000	100.00			9300000
B	Public	430	4651200	0	0	4651200	33.34	4651200	0	4651200	33.34	0	33.34	54400	1.17			4651200
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	437	13951200	0	0	13951200	100.00	13951200	0	13951200	100.00	0	100.00	9354400	67.05			13951200



Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII= IV+V+VI)	Shareholding % as calculated per SCRR, 1957 (VIII) = (A+B+C2) / (VII) * 100	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding % as assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Share held (b)	No. (a)	As a % of total share held (b)	
									Class X	Class Y	Total								
1	Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
a	Individuals/Hindu undivided Family		7	930000	0	0	930000	66.66	930000	0	930000	66.66	0	66.66	930000	100.00		930000	
	Kasvi Jain	BJAPJ4591F	1	10000	0	0	10000	0.07	10000	0	10000	0.07	0	0.07	10000	100.00		10000	
	Vikas Jain	ACUPJ1235E	1	381000	0	0	381000	27.31	381000	0	381000	27.31	0	27.31	381000	100.00		381000	
	Rachna Jain	AJUPK6225C	1	430000	0	0	430000	3.08	430000	0	430000	3.08	0	3.08	430000	100.00		430000	
	Piyush Jain	ADIPJ8400G	1	229000	0	0	229000	16.41	229000	0	229000	16.41	0	16.41	229000	100.00		229000	
	Vikas Jain	AAKHV2350L	1	30000	0	0	30000	0.22	30000	0	30000	0.22	0	0.22	30000	100.00		30000	
	Gajraj Jain	AABHG3653A	1	230000	0	0	230000	16.49	230000	0	230000	16.49	0	16.49	230000	100.00		230000	
	Ruchi Jain	ACW	1	430000	0	0	430000	3.08	430000	0	430000	3.08	0	3.08	430000	100.00		430000	

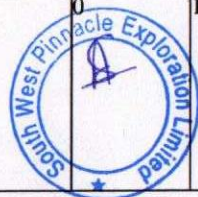
		PJ412 8L	0					0		000					00	00			
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(1)		7	930000	0	0	930000	66.66	930000	0	930000	66.66	0	66.66	930000	100.00			9300000
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	930000	0	0	930000	66.66	930000	0	930000	66.66	0	66.66	930000	100.00			9300000



Table III- Statements showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\text{VII} = \text{IV} + \text{V} + \text{VI}$	Shareholding % calculated as per SCRR, 1957 $\text{As a \% of (A+B+C2) VIII}$	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding Convertible Securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (N)	As a % of total shares held (Not applicable)	
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		2	1873600	0	0	1873600	13.43	1873600	0	1873600	13.43	0	13.43	0	0.00			1873600
	DSP BLACKROCK NATURAL RESOURCES AND NEW ENERGY FUND	AAAJD0430B	1	592000	0	0	592000	4.24	592000	0	592000	4.24	0	4.24	0	0.00			592000
	HSBC SMALL CAP EQUITY FUND	AAATH2467G	1	1281600	0	0	1281600	9.19	1281600	0	1281600	9.19	0	9.19	0	0.00			1281600
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		4	123200	0	0	123200	0.88	123200	0	123200	0.88	0	0.88	0	0.00			123200
f	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	(specify)																	
	Sub-Total (B)(1)	6	1996800	0	0	1996800	14.31	1996800	0	1996800	14.31	0	14.31	0	0.00			1996800
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -	343	1409096	0	0	1409096	10.10	1409096	0	1409096	10.10	0	10.10	14400	1.02	0	0.00	1409096
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	331	969096	0	0	969096	6.95	969096	0	969096	6.95	0	6.95	14400	1.49			969096
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	12	440000	0	0	440000	3.15	440000	0	440000	3.15	0	3.15	0	0.00			440000
b	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)	81	1245304	0	0	1245304	8.93	1245304	0	1245304	8.93	0	8.93	40000	3.21			1245304
	Trusts	2	324800	0	0	324800	2.33	324800	0	324800	2.33	0	2.33	38400	11.82			324800
	PANTOMATH SABRIMALA AIF PANTOMATH SABRIMALA SME GROWTH FUND SERIES I	AADT P4086 E	1	164800	0	0	164800	1.18	164800	0	164800	1.18	0	1.18	38400	23.30		164800



EW CLOVER SCHEME	AAAT E6324 K	1	160000	0	0	160000	1.15	160000	0	1600 00	1.15	0	1.15	0	0.00		160000
HUF		38	132800	0	0	132800	0.95	132800	0	1328 00	0.95	0	0.95	1600	1.20		132800
Non-Resident Indian (NRI)		5	24000	0	0	24000	0.17	24000	0	2400 0	0.17	0	0.17	0	0.00		24000
Clearing member		15	205304	0	0	205304	1.47	205304	0	2053 04	1.47	0	1.47	0	0.00		205304
Bodies Corporate		21	558400	0	0	558400	4.00	558400	0	5584 00	4.00	0	4.00	0	0.00		558400
PANTOMATH STOCK BROKERS PRIVATE LIMITED	AAHC P6713 F	1	236800	0	0	236800	1.70	236800	0	2368 00	1.70	0	1.70	0	0.00		236800
Sub-Total (B)(3)		424	265440	0	0	265440	19.03	265440	0	2654 400	19.03	0	19.03	54400	2.05		2654400
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		430	465120	0	0	465120	33.34	465120	0	4651 200	33.34	0	33.34	54400	1.17		4651200



Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

Category & Name of the Shareholder (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % of full conversion of convertible securities as a percentage of diluted share capital (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								Class X	Class Y	Total	Total as a % of Total Voting Rights			No.	As a % of total Shares held	No.	As a % of total Shares held		
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0
	Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held



Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

